

23351

PATENT, TRADEMARK OFFICE

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1-22-02

2834\$

Approved for use through 10/31/2002, GMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

**Complete if Known**

Application Number	08/179,555 08/179,555
Filing Date	January 24, 1997
First Named Inventor	Richard F. Hellbaum
Examiner Name	Budd M.
Group Art Unit	2834
Attorney Docket No.	LAR-15348-2

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number: 14-0116  
Deposit Account Name: NASA

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		-20** =		X			
Multiple Dependent		-3** =		X			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petition to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording and payment assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection	
149	740	249	370	For each additional invention to be examined (37 CFR 1.429(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 920.00

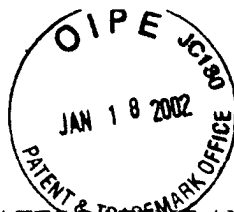
**SUBMITTED BY**

Name (Print/Type)	Robin W. Edwards	Registration No. (Attorney/Agent)	39,179	Telephone	864-3230
Signature	Robin W. Edwards	Date	12/19/01		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NASA-Case No. LAR 15348-2



COPY PAPERS  
ORIGINALLY FILED  
PATENT APPLICATION

#30/Ext. ③  
w/

Response

7-16-02

Hawkins

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Richard F. Hellbaum et al. :

Serial No.: 09/797,553 :

Filed: January 24, 1997 :

Examiner: Budd, M.

Art Unit: 2834

For: THIN LAYER COMPOSITE UNIMORPH FERROELECTRIC DRIVER  
AND SENSOR

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: 12/19/01

Robin W. Edwards

RESPONSE

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

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APR 22 2002

OFFICE OF THE SPECIAL  
PROGRAMS EXAMINER

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FEB 14 2002  
TC 1700

Responsive to the Office Action mailed June 19, 2001, please consider the following remarks:

01/28/2002 DTESSEM1 00000302 140116 09797553

01 FC:117 920.00 CH

REMARKS

Claims 17-24 stand rejected under 35 U.S.C. 103 as unpatentable over Corwin in view of Haertling or vice versa.

(1) The Examiner argues that it would have been obvious to one of ordinary skill in the art that the prestress layer could be attached to either the concave or convex side of the piezoelectric element. More specifically, the Examiner asserts